

Return completed to

Scalable Capital Bank GmbH

Seitzstraße 8e
80538 Munich
Germany

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Application for a loss certificate

Please note: The application for a loss certificate is only possible until 15.12. of the current year.

Person submitting the application

Mandatory information

Salutation

☐ Ms. ☐ Mr.

First name

Street, house number

Last name

Postal code

Place

Birth date

Country

Email address of the Scalable Capital account

Selection of the loss pots

I/we hereby apply for a loss certificate for the following loss pots for the current calendar year.

- ☐ **Loss pot "Equities"**
- ☐ **Loss pot "General"**

Multiple selections possible.

Explanations

A loss certificate can only ever be requested for the period of an entire calendar year. It certifies the losses that could not be offset by the bank against the investment income realised during the calendar year - even retroactively. The certificate is issued separately for losses from the sale of shares of stock ('Equities' loss category) and other securities or transactions ('General' loss category).

In order to receive a certificate for the current year, please submit this form to us by the 15. December of the current year at the latest. It is advisable to apply for a loss certificate if, among other things, capital gains are realised at other banks in the current year that are subject to capital gains tax. The loss certificate can be used to fully or partially exempt this investment income from capital gains tax or - if the investment income is received abroad - to exempt it from the capital gains tax to be levied in the yearly tax assessment. It is not possible to apply retroactively for loss certificates for previous tax years.

If a loss certificate is issued, the relevant loss pot is set to 'zero' at the beginning of the following year. Once a loss certificate has been issued, the loss shown therein cannot be reinstated into the loss pot. It must be claimed in the individual's yearly tax assessment. This prevents losses from being recognised twice. If no loss certificate is applied for, the loss pot is automatically carried forward by the bank to the new calendar year and offset against the deductible investment income realised in this calendar year within the framework of the statutory regulations.

Please only submit an application for a loss pot certificate if losses have actually been incurred.

No loss certificates are issued for investments held as business assets.

Signature

Date

Place